# DUALITY FOR QUOTIENT MODULES AND A CHARACTERIZATION OF REFLEXIVE MODULES

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### Introduction

Let R be a left Artinian ring, U a left R-module and  $T = \text{End}(_R U)$ . From a well known theorem of Morita duality the following conditions are equivalent (see [1], [11], [18]):

(i) There exists a duality between the category  $\overline{\mathscr{F}}_1$  of all finitely generated left *R*-modules and the category  $\overline{\mathscr{F}}_2$  of all finitely generated right *T*-modules via functors  $\operatorname{Hom}(-, {}_RU): \overline{\mathscr{F}}_1 \to \overline{\mathscr{F}}_2$  and  $\operatorname{Hom}(-, U_T): \overline{\mathscr{F}}_2 \to \overline{\mathscr{F}}_1$ .

(ii)  $_{R}U$  is a finitely generated injective cogenerator (in the category of all left *R*-modules).

When one of the above equivalent conditions is satisfied, T is right Artinian and the right *T*-module  $U_T$  is a finitely generated injective cogenerator.

In this paper we shall extend this concept of Morita duality (of Artinian rings) to a situation of certain hereditary torsion theories. Let R and T be rings and U an (R, T)-bimodule. Let us denote  $I(_RU)$  (resp.  $I(U_T)$ ) the injective hull of  $_RU$  (resp.  $U_T$ ). Throughout this paper  $\tau_1$  (resp.  $\tau_2$ ) denotes a hereditary torsion theory (see [4], [15]) with respect to the ring R (resp. T) cogenerated by  $I(_RU)$  (resp.  $I(U_T)$ ). The  $\tau_1$ -torsion free class means a class of all left R-modules which are embedded in direct products of copies of  $I(_RU)$ . A submodule M of a left R-module N is  $\tau_1$ -closed if N/M is  $\tau_1$ -torsion free. On the other hand, M is called  $\tau_1$ -dense in N, if Hom $(_RN/M, _RI(_RU)) = 0$ . If N has a finitely generated  $\tau_1$ -dense submodule, N is said to be  $\tau_1$ -finitely generated. Let  $Q_{\tau_1}()$  (resp.  $Q_{\tau_2}()$ ) be the localization functor with respect to  $\tau_1$  (resp.  $\tau_2$ ). We shall say that a left R-module M is a  $\tau_1$ -quotient module, if  $Q_{\tau_1}(M) = M$ . Let L be a homomorphic image of a finite direct sum of copies of a left R-module K. Then, L is called finitely generated by K.

Now, assume R satisfies the descending chain condition on  $\tau_1$ -closed left ideals. When <sub>R</sub>U is faithful and  $T = \text{End}(_RU)$ , in Section 2 it will be proved that the following conditions are equivalent.

(i) (a)  $Q_{\tau_1}(U) = Q_{\tau_2}(U) (= \overline{U}, \text{ say}).$ 

(b) There exists a duality between the category  $\mathscr{C}_1$  of all  $\tau_1$ -finitely generated  $\tau_1$ -quotient left *R*-modules and the category  $\mathscr{C}_2$  of all  $\tau_2$ -finitely generated  $\tau_2$ -quotient right *T*-modules via functors

Hom $(-, R\overline{U}): \mathscr{C}_1 \to \mathscr{C}_2$  and Hom $(-, \overline{U}_T): \mathscr{C}_2 \to \mathscr{C}_1$ .

(ii)  $_{R}U$  is  $\tau_{1}$ -finitely generated and every  $\tau_{1}$ -torsion free left *R*-module which is finitely generated by  $R \oplus U$  is embedded in a direct product of copies of  $_{R}U$ .

Furthermore, any of these two equivalent statements implies that T satisfies the descending chain condition on  $\tau_2$ -closed right ideals,  $U_T$  is  $\tau_2$ -finitely generated and every  $\tau_2$ -torsion free right T-module which is finitely generated by  $T \oplus U$  is embedded in a direct product of copies of  $U_T$ .

It is to be noted that this result is closely connected with a condition for left QF-3 rings, i.e., rings with minimal faithful left modules (cf. [17]), to be right QF-3 ([3], [12]).

A typical example of  $_{R}U$  in this result is a ring R such that every finitely generated submodule of  $I(_{R}R)$  is torsionless and R satisfies descending chain condition on annihilator left ideals, i.e., a ring R with a semi-primary (left and right) QF-3 maximal two-sided quotient ring [9]. When R has this condition, in Section 3 (as an application of the considerations in Section 2) we shall give a necessary and sufficient condition for a finitely generated left R-module to be reflexive. Especially, if R is a QF-3 ring with the ascending chain condition on annihilator left (and hence right) ideals, it will be proved that every reflexive module is contained in a finitely generated projective module. Consequently, every reflexive left module over a left Artinian QF-3 ring is finitely generated.

Throughout this paper every ring has an identity, every homomorphism between modules will be written on the opposite side of scalars and DCC (ACC) means the descending (ascending) chain condition.

#### 1. Preliminaries

In this section R, T are rings and U is a left R-module. A left R-module M is *U*-torsionless, if M is embedded in a direct product of copies of  $_{R}U$ .

Consider the following conditions.

(i) Every finitely generated submodule of  $I(_RU)$  is U-torsionless.

(ii) Every finitely generated  $\tau_1$ -torsion free module is U-torsionless.

(iii) Every  $\tau_1$ -torsion free module which is finitely generated by  $R \oplus U$  is U-torsionless.

Then, (i)  $\Leftrightarrow$  (ii)  $\in$  (iii). If <sub>R</sub>U is finitely generated, these three conditions are equivalent.

**Proposition 1.1.** Assume that every  $\tau_1$ -torsion free left R-module which is finitely generated by U is U-torsionless and  $T = \text{End}(_RU)$ . Then, T is embedded in  $S = \text{End}(_RQ_{\tau_1}(U))$  as a  $\tau_2$ -dense essential right T-submodule.

**Proof.** Since  $_RU$  is  $\tau_1$ -torsion free,  $Q_{\tau_1}(U) \supseteq U$ . Assume  $S \ni f \neq 0$  and  $U \ni x \neq 0$ . Since U + Uf is a homomorphic image of  $U \oplus U$ , it is U-torsionless. Then, there exists  $g_1, g_2 \in \text{Hom}(_RU + Uf, _RU)$  such that  $(x)g_1 \neq 0$  and  $(Uf)g_2 \neq 0$ . Since  $Q_{\tau_1}(U + Uf) = Q_{\tau_1}(U), g_1$  is extended to an element  $\bar{g}_1 \in S$  uniquely. The fact that  $U\bar{g}_1 \subset U$  and  $Uf\bar{g}_1 \subset U$  implies  $\bar{g}_1$  and  $f\bar{g}_1$  are elements of T. As  $(x)\bar{g}_1 \neq 0$ , we have  $\text{Hom}(S/T_T, I(U_T)_T) = 0$  by [7, p. 3, Proposition 0.3]. From a same argument  $g_2$  is extended to an element  $\bar{g}_2$  of S such that  $\bar{g}_2 \in T$  and  $0 \neq f\bar{g}_2 \in T$ . It follows  $S_T$  is an essential extension of  $T_T$  and this completes the proof.

Let M be a  $\tau_1$ -torsion free left R-module. By [5] M has DCC and ACC on  $\tau_1$ -closed submodules, if and only if there exists a maximal chain

$$M = M_0 \supseteq M_1 \supseteq \cdots \supseteq M_k = 0 \tag{(*)}$$

of  $\tau_1$ -closed submodules. In this case M is said to have  $\tau_1$ -finite length and we denote  $\tau_1$ -length  $_RM = k$ . The chain (\*) is called  $\tau_1$ -composition series of M. When R has DCC on  $\tau_1$ -closed left ideals, by a result of Miller and Teply [10] every left R-module with DCC on  $\tau_1$ -closed submodules has ACC on  $\tau_1$ -closed submodules.

Assume  $_RM$  has DCC on  $\tau_1$ -closed submodules and there exists an R-monomorphism  $\alpha: M \to \prod_{i \in A} K^{(i)}$ , where  $K^{(i)}$  is a copy of a  $\tau_1$ -torsion free left R-module K. Let  $\alpha_i$  be the canonical mapping  $M \to K^{(i)}$ ,  $i \in A$ . Since ker  $\alpha_i$  is  $\tau_1$ -closed in M, there exists a finite subset F of A such that  $\bigcap_{i \in F} \ker \alpha_i = 0$ , i.e., M is embedded in a finite direct sum of copies of K.

**Lemma 1.2.** Assume R has DCC on  $\tau_1$ -closed left ideals. If <sub>R</sub>U is  $\tau_1$ -finitely generated and every finitely generated submodule of  $I(_RU)$  is U-torsionless,  $Q_{\tau_1}(U)$  contains an injective R-submodule E such that  $I(_RU)$  is E-torsionless. Consequently, every  $\tau_1$ -torsion free module is  $Q_{\tau_1}(U)$ -torsionless.

**Proof.** It is easily checked that  $_{R}U$  has  $\tau_{1}$ -finite length, since  $_{R}U$  is  $\tau_{1}$ -finitely generated. Then,  $I(_{R}U)$  is finite Goldie dimensional. Put  $I(_{R}U) = M_{1} \oplus \cdots \oplus M_{n}$ , where  $M_{i}$  is injective uniform. Suppose there is a  $M_{i}$  which is not  $\tau_{1}$ -finitely generated. Then, we have an infinite chain  $0 = L_{0} \subsetneq L_{1} \subsetneq \cdots \bigtriangledown L_{j} \subsetneq \cdots$  of finitely generated submodule of  $M_{i}$  such that  $L_{j}$  is not  $\tau_{1}$ -dense in  $L_{j+1}$ ,  $j=0,1,\ldots$ . Assume that  $\tau_{1}$ -length  $_{R}U = k$ . As  $L_{k+1}$  is a finitely generated submodule of  $I(_{R}U)$ , it is  $Q_{\tau_{1}}(U)$ -torsionless. This implies  $L_{k+1}$  is embedded in a finite direct sum of copies of  $Q_{\tau_{1}}(U)$ , since  $L_{k+1}$  has DCC on  $\tau_{1}$ -closed submodules. Clearly  $L_{k+1}$  is a uniform left R-module. Hence there exists an R-monomorphism  $f: L_{k+1} \to Q_{\tau_{1}}(U)$ . We have a chain  $0 = L_{0}f \subsetneq L_{1}f \subsetneq \cdots \subsetneq L_{k+1}f$  of submodules of  $Q_{\tau_{1}}(U)$  such that  $L_{j}f$  is not  $\tau_{1}$ -dense in  $L_{j+1}f$ ,  $j=0, 1, \ldots, k$ . However, this is a contradiction, since

 $\tau_1$ -length  $Q_{\tau_1}(U) = k$ . It follows that each  $M_i$  has a finitely generated  $\tau_1$ -dense submodule  $V_i$ , say. As is shown above there exists an *R*-monomorphism from  $V_i$  to  $Q_{\tau_1}(U)$  and hence from  $M_i$  to  $Q_{\tau_1}(U)$ . Then, we can see that there exists a subclass  $\{M_{p_1}, M_{p_2}, ..., M_{p_l}\}$  of  $\{M_1, M_2, ..., M_n\}$  such  $E = \bigoplus_{j=1}^l M_{p_j}$  is embedded in  $Q_{\tau_1}(U)$  and  $I(_RU)$  is *E*-torsionless.

Now, in the following a  $\tau_1$ -torsion free left *R*-module *M* is called  $\tau_1$ -cocritical, if  $M \neq 0$  and every non-zero submodule is  $\tau_1$ -dense.

**Lemma 1.3.** Assume every  $\tau_1$ -torsion free left R-module which is finitely generated by  $R \oplus U$  is U-torsionless and  $T = \text{End}(_R U)$ . Then,

(i) If M is a cyclic  $\tau_2$ -cocritical right T-module, Hom $(M_T, U_T)$  is a  $\tau_1$ -cocritical left R-module.

(ii) If R has DCC on  $\tau_1$ -closed left ideals and N is a  $\tau_1$ -cocritical left R-module, Hom( $_RN,_RU$ ) is a  $\tau_2$ -cocritical right T-module, when Hom( $_RN,_RU$ )  $\neq 0$ .

**Proof.** (i) There exists a maximal  $\tau_2$ -closed right ideal J of T such that  $M \cong T/J$ . Put  $l_U(J) = \{x \in U; xJ = 0\}$ . Then,  $_R \operatorname{Hom}(M_T, U_T) \cong_R l_U(J)$ . It is easy to see that  $U/l_U(J)$  is  $_R U$ -torsionless and then  $l_U(J)$  is a  $\tau_1$ -closed submodule of  $_R U$ . Suppose there exists a proper  $\tau_1$ -closed submodule V ( $\neq 0$ ) of  $l_U(J)$ . As V is  $\tau_1$ -closed in U, U/V is U-torsionless. Hence there exists  $t \in T$  such that  $l_U(J)t \neq 0$  and Vt = 0. Clearly  $t \notin J$  and J + tT is a  $\tau_2$ -dense right ideal of T. However, this is a contradiction, since V(J+tT) = 0. It follows that  $l_U(J)$  is a  $\tau_1$ -cocritical left R-module.

(ii) Assume Hom( $_RN,_RU$ )  $\ni f_1 (\neq 0), f_2$  and  $U \ni u \neq 0$ . By Lemma 1.2 there exists an injective left *R*-submodule *E* of  $Q_1(U)$  such that  $I(_RU)$  is *E*-torsionless. There exists an *R*-homomorphism  $\theta: Q_{\tau_1}(U) \rightarrow E$  such that  $(u)\theta \neq 0$ . As  $_RN$  is  $\tau_1$ -cocritical and Im  $f_1$  is a non-zero  $\tau_1$ -torsion free module,  $f_1$  is a monomorphism. Hence there exists an *R*-homomorphism  $\phi: U \rightarrow E$  such that  $f_2\theta = f_1\phi$ , since  $_RE$  is injective. As  $\theta$  and  $\phi$  can be regarded to be elements of  $\text{End}(_RQ_{\tau_1}(U))$ , by Proposition 1.1 there exists a  $\tau_2$ -dense right ideal *D* of *T* such that  $\theta D \subseteq T$  and  $\phi D \subseteq T$ . We can choose  $d \in D$  such that  $(u)\theta d \neq 0$ . Since  $f_2 \cdot \theta d = f_1 \cdot \phi d$ , we have  $f_2 \cdot \theta d \in f_1T$ . This implies Hom([Hom( $_RN,_RU$ )/ $f_1T$ ] $_T, I(U_T)_T$ ) = 0. Thus Hom( $_RN,_RU$ ) $_T$ , which is  $U_T$ -torsionless, is  $\tau_2$ -cocritical unless it is 0.

Assume U is an (R, T)-bimodule. Let X be a left R-module and Y a right T-module. We shall say that X and Y form an orthogonal pair with respect to  ${}_{R}U_{1}$ , provided there exists a bilinear mapping  $({}_{R}X, Y_{T}) \rightarrow {}_{R}U_{T}$  denoted by (, ) such that (x, Y) = 0 implies x = 0 and (X, y) = 0 implies y = 0. If W is a subset of X (resp. Y), we denote by r(W) (resp. l(W)) the annihilator  $\{y \in Y; (W, y)\} = 0$  (resp.  $\{x \in X; (x, W)\} = 0$ ). It is easily checked that Y/r(W) is U-torsionless. Furthermore, it is evident that  ${}_{R}X$  (resp.  $Y_{T}$ ) is  $\tau_{1}$ -torsion free (resp.  $\tau_{2}$ -torsion free).

**Proposition 1.4.** Let  $T = \text{End}(_R(U))$ . Assume that R satisfies DCC on  $\tau_1$ -closed left

ideals, <sub>R</sub>U is  $\tau_1$ -finitely generated and every  $\tau_1$ -torsion free left R-module which is finitely generated by  $R \oplus U$  is U-torsionless. If a left R-module X and a right T-module Y form an orthogonal pair with respect to <sub>R</sub>U<sub>T</sub>, then  $\tau_1$ -length <sub>R</sub>X =  $\tau_2$ -length  $Y_T$  in the case where  $\tau_1$ -length <sub>R</sub>X <  $\infty$  or  $\tau_2$ -length  $Y_T < \infty$ .

**Proof.** Assume  $_RX$  has  $\tau_1$ -finite length. Let  $X = X_0 \supseteq X_1 \supseteq \cdots \supseteq X_n = 0$  be a  $\tau_1$ composition series. Then, we have a chain  $0 = r(X_0) \subseteq r(X_1) \subseteq \cdots \subseteq r(X_n) = Y$  of  $\tau_2$ -closed submodules of  $Y_T$ . One can check that  $r(X_{i+1})/r(X_i)$  is embedded in the
right *T*-module Hom $(_RX_i/X_{i+1}, _RU)$  canonically, i = 0, ..., n-1. Since  $X_i/X_{i+1}$  is  $\tau_1$ -cocritical, by lemma 1.3  $r(X_{i+1})/r(X_i)$  is  $\tau_2$ -cocritical unless it is 0. This implies  $\tau_1$ -length  $_RX \ge \tau_2$ -length  $Y_T$ . Conversely, assume there exists a  $\tau_2$ -composition
series  $Y = Y_0 \supseteq Y_1 \supseteq \cdots \supseteq Y_m = 0$ . Let  $y \in Y_i \setminus Y_{i+1}$ . Suppose  $l(Y_i) \subseteq l(yT + Y_{i+1})$ .
Then, there exists  $x \in X$  such that  $(x, Y_i) \ne 0$  and  $(x, yT + Y_{i+1}) = 0$ . Hence
Hom $([Y_i/yT + Y_{i+1}]_T, U_T) \ne 0$ . This is a contradiction, since  $yT + Y_{i+1}$  is a  $\tau_2$ -dense
submodule of  $Y_i$ . It follows that

$$l(Y_i) = l(yT + Y_{i+1})$$
 and  $l(Y_{i+1})/l(Y_i) = l(Y_{i+1})/l(yT + Y_{i+1})$ 

So  $l(Y_{i+1})/l(Y_i)$  is embedded in Hom $([yT + Y_{i+1}/Y_{i+1}]_T, U_T)$ . As  $yT + Y_{i+1}/Y_{i+1}$  is a cyclic  $\tau_2$ -cocritical right *T*-module, by Lemma 1.3 Hom $([yT + Y_{i+1}/Y_{i+1}]_T, U_T)$  is a  $\tau_1$ -cocritical left *R*-module. Thus we have  $\tau_2$ -length  $Y_T \ge \tau_1$ -length  $_R X$  and this completes the proof.

**Lemma 1.5.** Assume U is an (R, T)-bimodule and M is a left R-module. Then, the right T-module Hom $(_RM, _RU)$  can be embedded in a direct product of copies of  $U_T$  as a  $\tau_2$ -closed submodule.

**Proof.** There exists a T-monomorphism  $\theta$ : Hom $(_RM, _RU) \rightarrow \prod_{x \in M} U^{(x)}$  defined by  $p_m \theta(f) = (m)f$ ,  $f \in \text{Hom}(_RM, _RU)$  and  $m \in M$ , where  $U^{(x)}$  is a copy of  $U_T$  and  $p_m$  is the projection  $\prod_{x \in M} U^{(x)} \rightarrow U^{(m)}$ . Suppose  $y \in \prod_{x \in M} U^{(x)}$  and there exists a  $\tau_2$ -dense right ideal D of T such that  $yD \subseteq \text{Im } \theta$ . Define a mapping  $\phi: M \rightarrow U$  by  $(x)\phi = (y)p_x$ ,  $x \in M$ . If we show that  $\phi$  is an R-homomorphism, then  $y = \theta(\phi) \in \text{Im } \theta$  and the proof will be completed. Let  $d \in D$  be an arbitrary element. We can select  $f \in \text{Hom}(_RM, _RU)$  such that  $(y)p_xd = (yd)p_x = (x)f$ ,  $x \in M$ . Therefore, for every  $r \in R$  and  $x \in M$  we have

$$\{(rx)\phi - r \cdot (x)\phi\}d = \{(y)p_{rx} - r \cdot (y)p_x\}d = (rx)f - r \cdot (x)f = 0.$$

Since D is a  $\tau_2$ -dense right ideal of T,  $(rx)\phi = r \cdot (x)\phi$ . Moreover, for every  $x_1, x_2 \in M$ 

$$[(x_1 + x_2)\phi - \{(x_1)\phi + (x_2)\phi\}]d = [(y)p_{x_1 + x_2} - \{(y)p_{x_1} + (y)p_{x_2}\}]d$$
$$= (x_1 + x_2)f - \{(x_1)f + (x_2)f\} = 0.$$

It follows that  $\phi$  is an *R*-homomorphism.

# 2. Duality for quotient modules

A left *R*-module *M* has ACC on  $\tau_1$ -closed submodules, if and only if every submodule of *M* is  $\tau_1$ -finitely generated (see [15, p. 263]). Now, we are able to prove the following:

**Theorem 2.1.** Let R be a ring, U a faithful left R-module and  $T = \text{End}(_RU)$ . Assume R has DCC on  $\tau_1$ -closed left ideals,  $_RU$  is  $\tau_1$ -finitely generated and every  $\tau_1$ -torsion free left R-module which is finitely generated by  $R \oplus U$  is U-torsionless. Then:

(i) T has DCC on  $\tau_2$ -closed right ideals,  $U_T$  is  $\tau_2$ -finitely generated and every  $\tau_2$ -torsion free right T-module which is finitely generated by  $T \oplus U$  is U-torsionless. (ii)  $Q_{\tau_1}(U) = Q_{\tau_2}(U)$  ( $=\bar{U}$ , say),  $\operatorname{End}(_R\bar{U}) = Q_{\tau_2}(T)$  and  $\operatorname{End}(\bar{U}_T) = Q_{\tau_1}(R)$ .

**Proof.** (i) Since  $_RU$  and  $T_T$  (resp.  $_RR$  and  $U_T$ ) form an orthogonal pair with respect to  $_RU_T$ , by Proposition 1.4  $T_T$  (resp.  $U_T$ ) has DCC on  $\tau_2$ -closed submodules.  $U_T$  also has ACC on  $\tau_2$ -closed submodules and hence is  $\tau_2$ -finitely generated. Let P be a finite direct sum of copies of the right T-module  $T \oplus U$ . Assume N is a  $\tau_2$ -closed submodule of P. It is sufficient to show that P/N is U-torsionless. Set  $P^* = \text{Hom}(P_T, U_T)$ . Then,  $_RP^*$  and  $P_T$  form an orthogonal pair with respect to  $_RU_T$ . Clearly  $P_T$  has  $\tau_2$ -finite length and we can check that there exist a  $\tau_2$ -composition series  $P = P_0 \supseteq P_1 \supseteq \cdots \supseteq P_k = N \supseteq \cdots \supseteq P_n = 0$ . By the same argument as in the proof of Proposition 1.4

$$0 = l(P_0) \subset l(P_1) \subset \cdots \subset l(P_k) = l(N) \subset \cdots \subset l(P_n) = P^*$$

is a  $\tau_1$ -composition series and we can deduce  $r(l(P_i)) = P_i$ , i = 0, ..., n. Since r(l(N)) = N, there exists a *T*-monomorphism  $\phi : P/N \to \prod_{f \in l(N)} U^{(f)}$  defined by  $p_g \phi(x + N) = g(x), x \in P$  and  $g \in l(N)$ , where  $p_g$  is the projection  $\prod_{f \in l(N)} U^{(f)} \to U^{(g)}$ .

(ii) Assume  $Q_{\tau_1}(U) \ni x \neq 0$  and  $U \ni y \neq 0$ . As Rx + U is a homomorphic image of the left *R*-module  $R \oplus U$  and hence *U*-torsionless, there exists  $g_1, g_2 \in$ Hom( $_R Rx + U, _R U$ ) such that  $(y)g_1 \neq 0$ ,  $(x)g_2 \neq 0$ . By a same argument as in the proof of Proposition 1.1  $g_1, g_2$  are extended to  $\bar{g}_1, \bar{g}_2 \in \text{End}(_R Q_{\tau_1}(U))$ , which are contained in *T*. Since  $(x)\bar{g}_1 \subseteq U$  and  $(y)\bar{g}_1 \neq 0$ , we have Hom( $Q_{\tau_1}(U)/U_T, I(U_T)_T$ )=0. Furthermore,  $Q_{\tau_1}(U)_T$  is an essential extension of  $U_T$ , since  $0 \neq (x)\bar{g}_2 \in U$ . This implies  $Q_{\tau_1}(U) \subseteq Q_{\tau_2}(U)$ . Next, put  $R' = \text{End}(U_T)$ . Let  $\tau'_1$  be the hereditary torsion theory with respect to the ring R' cogenerated by  $I(_R'U)$ . Since  $_RR'$  and  $U_T$  form an orthogonal pair with respect to  $_RU_T$ , by Proposition 1.4 and its left right symmetry,  $\tau_1$ -length  $_RR' = \tau_2$ -length  $U_T = \tau_1$ -length  $_RR$ . Hence  $_RR$  is a  $\tau_1$ -dense submodule of  $_RR'$ . Then, we can check that  $Q_{\tau_1}(U) = Q_{\tau_1}(U)$ , where  $Q_{\tau_1}(\cdot)$  is the localization functor with respect to  $\tau'_1$ . Now, as is shown above we can deduce that  $Q_{\tau_1}(U) \subseteq Q_{\tau_1}(U)$ . Thus  $Q_{\tau_1}(U) = Q_{\tau_2}(U)$  ( $=\bar{U}$ , say). Put  $S = \text{End}(_R\bar{U})$  and Q =End $(\bar{U}_T)$ . By Lemma 1.5  $S_T$  is embedded in a direct product of copies of the  $\tau_2$ -quotient module  $\bar{U}_T$  as a  $\tau_2$ -closed submodule. Hence  $S_T$  is a  $\tau_2$ -quotient module and it follows from Proposition 1.1 that  $S = Q_{\tau_2}(T)$ . Moreover, R' is a  $\tau'_1$ -dense essential left *R*-submodule of *Q* by Proposition 1.1. Hence *R* is a  $\tau_1$ -dense essential left *R*-submodule of *Q*, too, and  $Q = Q_{\tau_1}(R)$ .

**Remark 1.** If in Theorem 2.1 R has a minimal  $\tau_1$ -dense left ideal D, then  $D\overline{U} \subseteq U$  and hence  $I(U_T)$  is U-torsionless, since by left right symmetry of Lemma 1.2  $I(U_T)$  is  $\overline{U}$ -torsionless.

Recently it is proved in [9] that a ring R has a semi-primary QF-3 maximal twosided quotient ring, if and only if R has DCC on annihilator left ideals and every finitely generated submodule of  $I(_RR)$  is torsionless (where QF-3 means left and right QF-3). Assume R satisfies this condition and U is a finitely generated torsionless faithful left R-module. Then, annihilator left ideals coincide with  $\tau_1$ -closed left ideals. Furthermore, every finitely generated submodule of  $I(_RU)$  is torsionless and hence U-torsionless. Let  $T = \text{End}(_RU)$ . By Theorem 2.1 T has DCC on  $\tau_2$ -closed right ideals and every finitely generated submodule of  $I(T_T)$  is U-torsionless. If the trace ideal of  $_RU$  has no nonzero right annihilator in R, we can deduce that the fiathful right T-module U is torsionlesss. This implies T has DCC on annihilator right ideals and every finitely generated submodule of  $I(T_T)$  is torsionless. Thus we have the following result.

**Corollary 2.2.** Let U be a finitely generated torsionless faithful left module over a ring R and the trace ideal of U has no non-zero right annihilator in R. If R has a semi-primary QF-3 maximal two-sided quotient ring, then so does  $End(_RU)$ .

Now, using Theorem 2.1 we can prove:

**Theorem 2.3.** Let U be a faithful left R-module over a ring R and  $T = \text{End}(_RU)$ . If R satisfies DCC on  $\tau_1$ -closed left ideals, then the following conditions are equivalent.

(i) (a)  $Q_{\tau_1}(U) = Q_{\tau_2}(U)$  (= $\overline{U}$ , say).

(b) There exists a duality between the category  $\mathscr{C}_1$  of all  $\tau_1$ -finitely generated  $\tau_1$ -quotient left R-modules and the category  $\mathscr{C}_2$  of all  $\tau_2$ -finitely generated  $\tau_2$ -quotient right T-modules via functors

Hom $(-, R\overline{U})$ :  $\mathscr{C}_1 \to \mathscr{C}_2$  and Hom $(-, \overline{U}_T)$ :  $\mathscr{C}_2 \to \mathscr{C}_1$ .

(ii) <sub>R</sub>U is  $\tau_1$ -finitely generated and every  $\tau_1$ -torsion free left R-module which is finitely generated by  $R \oplus U$  is U-torsionless.

**Proof.** (i)  $\Rightarrow$  (ii) Put  $F = \text{Hom}(-, R\overline{U})$  and  $G = \text{Hom}(-, \overline{U}_T)$ , the canonical contravariant functors between the category of all left *R*-modules and the category of all right *T*-modules. It is evident that  $_R\overline{U} \cong_R G(Q_{\tau_2}(T)) \in \mathscr{C}_1$ . Hence  $_R\overline{U}$  is

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 $\tau_1$ -finitely generated. Since R has DCC on  $\tau_1$ -closed left ideals,  $_R\bar{U}$  has DCC on  $\tau_1$ -closed submodules and then  $_RU$  is  $\tau_1$ -finitely generated. Put  $S = \text{End}(_R\bar{U})$ . Since  $Q_{\tau_2}(T) \cong FG(Q_{\tau_2}(T)) \cong F(\bar{U}) = S$ , S is the ring of quotient of T with respect to  $\tau_2$ . Let L be a  $\tau_1$ -torsion free left R-module such that there exists an R-epimorphism

$$f: \bigoplus_{i=1}^n (R^{(i)} \oplus U^{(i)}) \to L,$$

where  $R^{(i)}$  and  $U^{(i)}$  are copies of  ${}_{R}R$  and  ${}_{R}U$  respectively. Assume  $L \ni a \neq 0$ . Since  ${}_{R}L$  has DCC on  $\tau_1$ -closed submodules and hence  $\tau_1$ -finitely generated,  $Q_{\tau_1}(L) \in \mathscr{C}_1$ . It follows that  $Q_{\tau_1}(L) \cong GF(Q_{\tau_1}(L))$  and hence we can see that L is  ${}_{R}\overline{U}$ -torsionless. Therefore, there exists an R-homomorphism  $h: L \to \overline{U}$  such that  $(a)h \neq 0$ . Let  $\phi_i$  (resp.  $\theta_i$ ) be the canonical mapping  $R^{(i)} \to \overline{U}$  (resp.  $U^{(i)} \to \overline{U}$ ) induced from

$$f \cdot h : \bigoplus_{i=1}^{n} (R^{(i)} \oplus U^{(i)}) \to \overline{U},$$

i = 1, ..., n. Write  $u_i = (1_i)\phi_i$ , where  $1_i$  is the identity of  $R^{(i)}$ . On other hand,  $\theta_i$  is extended to an element  $\overline{\theta}_i$  of S. We can select a  $\tau_2$ -dense right ideal D of T such that  $u_i D \subseteq U$  and  $\overline{\theta}_i D \subseteq T$ , i = 1, ..., n. Now, there exists  $d \in D$  such that  $(a)h \cdot d \neq 0$ . We can easily check that  $h \cdot d \in \text{Hom}(_RL, _RU)$  and hence L is  $_RU$ -torsionless.

(ii)  $\Rightarrow$  (i) By Theorem 2.1  $Q_{\tau_1}(U) = Q_{\tau_2}(U)$  ( $=\overline{U}$ ) and  $S = \operatorname{End}(_R\overline{U})$  is a ring of quotient of T with respect to  $\tau_2$ . Assume  $M \in \mathscr{C}_1$ . By Lemma 1.2 the  $\tau_1$ -torsion free module M is  $_R\overline{U}$ -torsionless. So  $_RM$  and  $F(M)_S$  form an orthogonal pair with respect to  $_R\overline{U}_S$ . It is evident that  $\tau_1$  is the hereditary torsion theory cogenerated by  $I(_R\overline{U})$ . Let  $\overline{\tau}_2$  be the hereditary torsion theory with respect to the ring S cogenerated by  $I(\overline{U}_S)$ . Since  $_R\overline{U}$  is  $\tau_1$ -finitely generated, by Proposition 1.4  $\tau_1$ -length  $_RM = \overline{\tau}_2$ -length  $F(M)_S$ . We can easily check that  $\overline{\tau}_2$ -length  $F(M)_S = \tau_2$ -length  $F(M)_T$ . Hence  $F(M)_T$  is  $\tau_2$ -finitely generated and is contained in  $\mathscr{C}_2$ , because  $F(M)_T$  is embedded in a direct product of copies of  $\overline{U}_T$  as a  $\tau_2$ -closed submodule from Lemma 1.5. Moreover,  $\tau_1$ -length  $_RM = \tau_1$ -length  $_RGF(M)$ , since  $_R\overline{U}_S$ . This implies that the  $\tau_1$ -quotient  $\overline{U}$ -torsionless module M is embedded in the  $\tau_1$ -dense submodule and hence  $_RM \cong _RGF(M)$  canonically. By the left right symmetry we have that for every  $N \in \mathscr{C}_2$ ,  $G(N) \in \mathscr{C}_1$  and  $N_T \cong FG(N)_T$  canonically. This completes the proof.

Now, in the following a submodule M of a left R-module N is said to be  $_{R}R$ -rationally closed in N, provided N/M is  $I(_{R}R)$ -torsionless. On the other hand, M is called  $_{R}R$ -dense in N, if  $\operatorname{Hom}(_{R}N/M,_{R}I(_{R}R))=0$ . If M is embedded in a direct product of copies of  $I(_{R}R)$  as an  $_{R}R$ -rationally closed submodule, we shall say  $I(_{R}R)$ -dominant dimension  $M \ge 2$ . Let  $\mathscr{L}_{1} = \{ \operatorname{left} R\operatorname{-module} X; I(_{R}R)\operatorname{-dominant} dimension X \ge 2 \text{ and } X$  has a finitely generated  $_{R}R$ -dense submodule} and  $\mathscr{L}_{2} = \{ \operatorname{right} R\operatorname{-module} Y; I(R_{R})\operatorname{-dominant} dimension Y \ge 2 \text{ and } Y$  has a finitely generated  $R_{R}$ -dense submodule}.

**Corollary 2.4.** The following conditions are equivalent for a ring R:

(i) R has a maximal two-sided quotient ring Q such that Q has DCC on both annihilator left ideals and annihilator right ideals, and there exists a duality between  $\mathcal{D}_1$  and  $\mathcal{D}_2$  via functors

Hom $(-, {}_{R}Q): \mathcal{D}_{1} \rightarrow \mathcal{D}_{2}$  and Hom $(-, Q_{R}): \mathcal{D}_{2} \rightarrow \mathcal{D}_{1}$ .

(ii) R has DCC on annihilator left ideals and every finitely generated submodule of  $I(_RR)$  is torsionless.

**Proof.** Clearly, R has DCC on annihilator left ideals (resp.  $_RR$ -rationally closed left ideals), if and only if so does its maximal left quotient ring.

(ii)  $\Rightarrow$  (i) This is immediate from Theorem 2.1 and 2.3, since every rationally closed left ideal coincides with an annihilator left ideal (cf. [16]).

(i)  $\Rightarrow$  (ii) Let K be a  $_QQ$ -rationally closed left ideal of Q. Since Q/K is a submodule of an R-module contained in  $\mathcal{D}_1$ , it is Q-torsionless and hence K is an annihilator left ideal of Q. So R has DCC on  $_RR$ -rationally closed left ideals.

**Remark 2.** Let *R* be a left QF-3 ring with DCC on annihilator left ideals and Re an injective faithful left ideal which is embedded in every faithful left *R*-module, where *e* is an idempotent. As <sub>*R*</sub>*Re* is a dominant module (see [6]),  $I(Re_{eRe})$  is a cogenerator. If we put  $_{R}U_{T} = _{R}Re_{eRe}$ , the category  $\mathscr{A}$  of all finitely generated right *eRe*-modules coincides with  $\mathscr{C}_{2}$  (in Theorem 2.3). Hence there exists a duality between  $\mathscr{L}_{1}$  and  $\mathscr{A}$ .

#### 3. Reflexive modules

Let M be a left R-module. Write  $M^* = \text{Hom}(_R M, _R R)$  and  $M^{**} = \text{Hom}(M_R^*, R_R)$ . If  $_R M \cong_R M^{**}$  canonically, M is called *reflexive*.

**Theorem 3.1.** Assume R is a ring with DCC on annihilator left ideals and every finitely generated submodule of  $I(_RR)$  is torsionless. Then, a finitely generated left R-module X is reflexive, if and only if X is embedded in a direct product of copies of  $_RR$  as an  $_RR$ -rationally closed submodule.

**Proof.** The 'only if' part is an immediate consequence of Lemma 1.5.

Conversely, assume there exists an *R*-monomorphism  $\phi: X \to \prod_{i \in I} R^{(i)}$  such that Im  $\phi$  is <sub>R</sub>*R*-rationally closed submodule of  $\prod_{i \in I} R^{(i)}$ , where  $R^{(i)}$  is a copy of <sub>R</sub>*R*. If we put <sub>R</sub> $U_T = {}_RR_R$ , by Proposition 1.4  $\tau_1$ -length  ${}_RX = \tau_2$ -length  $X_R^* = \tau_1$ -length <sub>R</sub> $X^{**}$ . Let  $\sigma: X \to X^{**}$  be the canonical *R*-monomorphism. Then, Im  $\sigma$  is <sub>R</sub>*R*-dense in  $X^{**}$ . Let  $p_j$  be the projection

$$\prod_{i\in I} R^{(i)} \to R^{(j)}, \quad j\in I.$$

Since  $\phi \cdot p_j \in X^*$ , we can define an *R*-homomorphism  $\theta: X^{**} \to \prod_{i \in I} R^{(i)}$  by  $(\alpha)\theta \cdot p_j = \alpha(\phi \cdot p_j), \ \alpha \in X^{**}$  and  $j \in I$ . Then, Ker  $\theta \cap \operatorname{Im} \sigma = 0$  and clearly Im  $\sigma$  is an essential submodule of  $_R X^{**}$ . So we have  $\theta$  is a monomorphism. It is evident that Im  $\phi = (\operatorname{Im} \sigma)\theta \subseteq \operatorname{Im} \theta \subseteq \prod_{i \in I} R^{(i)}$  and  $(\operatorname{Im} \sigma)\theta$  is an  $_R R$ -dense submodule of Im  $\theta$ . It follows that  $(\operatorname{Im} \sigma)\theta = \operatorname{Im} \theta$  and hence  $\operatorname{Im} \sigma = X^{**}$ , since  $(\operatorname{Im} \sigma)\theta$  is  $_R R$ -rationally closed in  $\prod_{i \in I} R^{(i)}$ .

In [2] H. Bass has proved that a left *R*-module *K* can be embedded in  $\bigoplus_{i=1}^{n} R^{(i)}$ and  $\bigoplus_{i=1}^{n} R^{(i)}/K$  is torsionless, if and only if  $K \cong \text{Hom}(B_R, R_R)$ , where *B* is a right *R*-module generated by *n* elements. Therefore, every finitely generated reflexive left module over a right Noetherian ring can be embedded in a finitely generated free *R*-module by this way.

As a generalization of a result obtained by Morita [12] we have:

**Corollary 3.2.** Let R be a right Noetherian ring such that every finitely generated submodule of  $I(_RR)$  is torsionless. Then, a finitely generated left R-module X is reflexive, if (and only if) X is embedded in a finitely generated free left R-module F and F/X is torsionless.

**Remark 3.** A submodule M of a left R-module N is said to be *closed*, if M has no proper essential extension in N. Let R be a semi-prime (two-sided) Noetherian ring. Then, R has a classical two-sided quotient ring  $Q_{cl}$  such that  $Q_{cl} = I(RR) = I(RR)$ . So every finitely generated left R-module X is reflexive, if and only if X is embedded in a finitely generated free left R-module as a closed submodule.

Now, in the following we shall study reflexive modules over QF-3 ring without assuming 'finitely generated'.

**Proposition 3.3.** Let M be a reflexive left module over a left QF-3 ring R. Then, every  $_{R}R$ -rationally closed submodule of M is reflexive.

**Proof.** Let L be an <sub>R</sub>R-rationally closed submodule of M and  $\alpha \in L^{**}$ . We can define  $\bar{\alpha}: M_R^* \to R_R$  by  $\bar{\alpha}(f) = \alpha(f | L)$ ,  $f \in M^*$ , where f | L is the restriction of f. Since <sub>R</sub>M is reflexive, there exists  $k \in M$  such that  $\alpha(f | L) = (k)f$  for each  $f \in M^*$ . Suppose  $k \notin L$ . As M/L is  $l(_RR)$ -torsionless and hence torsionless, there exists an R-homomorphism  $\theta: M/L \to R$  such that  $(k + L)\theta \neq 0$ . Let  $\pi: M \to M/L$  be the canonical mapping. Then, we have a contradiction, since  $0 \neq (k)\pi \cdot \theta = \alpha(\pi \cdot \theta | L) = 0$ . This implies  $k \in L$ . As L is torsionless, there exists a canonical R-monomorphism  $\sigma: L \to L^{**}$ . Suppose  $\alpha \neq (k)\sigma$ . There exists  $g \in L^*$  such that  $[\alpha - (k)\sigma](g) \neq 0$ . Let Re be an injective faithful left ideal, where e is an idempotent. We may assume  $g \in \text{Hom}(_RL, _RRe)$ . Because, there exists  $re \in Re$  such that

 $[\alpha - (k)\sigma](g) \cdot re \neq 0$  and hence  $0 \neq g \cdot re \in \text{Hom}(_RL, _RRe)$ . Let  $\overline{g} : _RM \rightarrow _RRe$  be an extension of g. Then, we have a contradiction, since  $[\alpha - (k)\sigma](g) = (k)\overline{g} - (k)g = 0$ . Thus  $\alpha = (k)\sigma$  and hence  $_RL$  is reflexive.

**Lemma 3.4.** Assume R is a ring with a maximal two-sided quotient ring Q and R has a minimal <sub>R</sub>R-dense left ideal and a minimal  $R_R$ -dense right ideal. If M is a reflexive left R-module and  $\overline{M} = \{x \in I(_RM); \text{ there exists an }_RR\text{-dense left ideal } J \text{ such that } Jx \subseteq M\}$ , then  $\overline{M}$  is a reflexive left Q-module.

**Proof.** It is well known that  $\overline{M}$  becomes a left Q-module.  $M^*$  is embedded in  $\operatorname{Hom}(_Q\overline{M},_QQ)$  canonically. Let f be an element in  $\operatorname{Hom}(_Q\overline{M},_QQ)$  and D the minimal  $R_R$ -dense right ideal of R. Since  $(M)f \cdot D \subseteq R$ , i.e.,  $fD \subseteq M^*$ ,  $M_R^*$  is an  $R_R$ -dense submodule of  $\operatorname{Hom}(_QM,_QQ)_R$ . By a same argument  $_RM^{**}$  and hence  $_RM$  are embedded in  $_R[\operatorname{Hom}(\operatorname{Hom}(_Q\overline{M},_QQ)_Q,Q_Q)]$  as an  $_RR$ -dense submodule. The fact that  $_RM$  is torsionless implies  $_Q\overline{M}$  is torsionless. So  $M \subseteq \overline{M} \subseteq$  Hom $(\operatorname{Hom}(_Q\overline{M},_QQ)_Q,Q_Q)$  and then we can conclude that  $\overline{M}$  is a reflexive left Q-module.

Now, it is well known that when R is a quasi-Frobenius ring, a left R-module X is reflexive, if and only if X is finitely generated. This result does not holds in the case where R is QF-3. However, extending this result we have:

**Theorem 3.5.** Assume R is a QF-3 ring with ACC on annihilator left (or right) ideals. Then, a left R-module X is reflexive, if and only if X has DCC on  $_{R}R$ -rationally closed submodules and X is embedded in a direct product of copies of  $_{R}R$  as an  $_{R}R$ -rationally closed submodule.

**Proof.** QF-3 rings with ACC on annihilator left ideals have also DCC on annihilator left ideals [14]. The 'if' part is evident from the proof of Theorem 3.1.

Conversely, assume X is a reflexive left R-module. If we show that  $_RX$  is contained in a finitely generated free left R-module, the proof of this theorem will complete. Suppose X has a submodule N' such that  $N = \bigoplus_{i \in I} N_i$ , an infinite direct sum of its submodules. Let  $\overline{N}$  and  $\overline{N}_i$  be the same as in Lemma 3.4. Since R has ACC on  $_RR$ -rationally closed left ideals, by [4, Proposition 14.1 and Proportion 14.10] we have  $\overline{N} = \bigoplus_{i \in I} \overline{N}_i$ . Clearly,  $\overline{N} \cap X$  is  $_RR$ -rationally closed in X. So it is a reflexive left R-module by Proposition 3.3. As R is QF-3, R has a maximal two-sided quotient ring Q (cf. [8]) and has a minimal  $_RR$ -dense left ideal and a minimal  $R_R$ -dense right ideal by [13]. Since it is evident that  $\overline{N} = \overline{N} \cap \overline{X}$ , by Lemma 3.4  $\overline{N}$ is a reflexive left Q-module. Set

$$S_j = \bigoplus_{i \in I \setminus \{j\}} \overline{N}_i$$
 and  $A_j = \{f \in \operatorname{Hom}(Q\overline{N}, QQ); (S_j)f = 0\}$ 

for each  $j \in I$ . Assume that  $\sum_{i \in I} A_i$  is not  ${}_{O}Q$ -rationally closed in Hom $({}_{O}\bar{N}, {}_{O}Q)_{O}$ .

Since  $I(Q_Q)$  is torsionless, there exists a non-zero element  $\phi$  in

Hom([Hom( $_Q \overline{N}, _Q Q) / \sum_{j \in I} A_j]_Q, Q_Q$ ).

It follows that there exists a non-zero element  $n \in \overline{N}$  such that  $\phi(g) = (n)g = 0$  for every  $g \in \sum_{j \in I} A_j$ . Put  $n = n_{i_1} + \dots + n_{i_r}$ , where  $\{i_1, \dots, i_r\} \subset I$  and  $n_{i_k} \in \overline{N}_{i_k}$ ,  $k = 1, \dots, t$ . Since  $Q\overline{N}$  is torsionless, there exists  $h \in \text{Hom}(Q\overline{N}, QQ)$  such that  $(n_{i_1})h \neq 0$ and  $(S_{i_1})h = 0$ . This is a contradiction, since  $h \in A_{i_1}$  and  $(n)h = (n_{i_1})h \neq 0$ . Hence  $\sum_{j \in I} A_j$  is a  $Q_Q$ -rationally closed submodule of the right Q-module  $\text{Hom}(Q\overline{N}, QQ)$ . One can see that there exists  $f \in \text{Hom}(Q\overline{N}, QQ)$  such that  $(\overline{N}_i)f \neq 0$  for each  $i \in I$ , since  $Q\overline{N}$  is torsionless. Further there exists a  $Q_Q$ -dense right ideal Q of Q such that  $0 \neq fD \subseteq \sum_{j \in I} A_j$ . As Q has ACC on  $Q_Q$ -rationally closed right ideals, we may assume that D is finitely generated from [4, 14.9]. Put  $D = q_1Q + \dots + q_mQ$ ,  $q_i \in Q$ . There exists a subset  $\{j_1, \dots, j_r\}$  of I such that  $fq_k \in A_{j_1} + \dots + A_{j_r}$ ,  $k = 1, \dots, m$ . Let  $j \in I \setminus \{j_1, \dots, j_r\}$ . Since  $\overline{N}_j \subseteq S_{j_i}$   $(i = 1, \dots, r)$ , we have  $(\overline{N}_j)fq_k = 0$   $(k = 1, \dots, m)$ . It follows  $(\overline{N}_j)fD = 0$  and this is a contradiction, as D is a  $Q_Q$ -dense right ideal. Thus, we see that  $_R X$  is finite Goldie dimensional. Put  $I(_R X) = U_1 \oplus \dots \oplus U_n$ , where  $U_i$  is an injective indecomposable submodule. Since  $I(_R X)$  is torsionless and hence projective by [10],  $U_i$  is embedded in R. This completes the proof.

From this proof we have:

**Theorem 3.6.** Every reflexive left module over a left Artinian QF-3 ring is finitely generated.

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